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10/524,281

02/10/2005

Hitoshi Murai

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WENDEROTH, LIND & PONACK, L.L.P.
2033 K STREET N. W.
SUITE 800
WASHINGTON, DC 20006-1021

EXAMINER

RAHMANI, NILOOFAR

ART UNIT

PAPER NUMBER

1625

MAIL DATE

DELIVERY MODE

05/10/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/524,281

Applicant(s)

MURAI ET AL.

Examiner

Niloofar Rahmani

Art Unit

1625

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 February 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-28,34 and 36-42 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) _____ is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☒ Claim(s) 1-28,34 and 36-42 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-28, 34, and 36-42 are currently pending in the instant application and claims 29-33,35, are cancelled.

Priority

2. This application is filed on 02/10/2005, which is a 371 of PCT/JP03/10212, filed on 08/11/2003, which claims priority of JAPAN 2002-235582, filed on 08/13/2002, and JAPAN 2002-245772, filed 08/26/2002, and JAPAN 2003-121726, filed on 04/25/2003, and JAPAN 2003-270863, filed on 07/04/2003.

Election/Restrictions

3. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions, which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

I. Claims 1,3,7,12-13,19-28,38-42, wherein B¹ being C and A¹ being – C(-Y)=C(-R^A)-C(-R³)=C(-R⁴)- or –C(-Y)=C(-R^A)-C(-R³)-C(-R⁴)- drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

II. Claims 1,4-6,12-18, and 28, wherein B¹ being C and A¹ being $-C(-Y)=C(-R^A)-C(-R^3)=N-$ or $-C(-Y)=C(-R^A)-C(=X)-N(-R^4)-$, $-C(-Y)=C(-R^A)-N=C(-R^4)-$ drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

III. Claims 1,8-9,11-13, and 28, wherein B¹ being C and A¹ being $-C(-Y)=C(-R^A)-O-C(-R^4)-$ or $-C(-Y)=C(-R^A)-C(-R^3)-O-$, $-C(-Y)=C(-R^A)-C(=X)-O-$ drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

IV. Claims 1,10,12-13, and 28, wherein B¹ being C and A¹ being $-C(-Y)=C(-R^A)-O-$ drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

V. Claims 1,12-13, and 28, wherein B¹ being N and A¹ being $-C(-Y)=C(-R^A)-C(-R^3)=C(-R^4)-$ or $-C(-Y)=C(-R^A)-C(-R^3)-C(-R^4)-$ drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

VI. Claims 1,12-13, and 28, wherein B¹ being N and A¹ being $-C(-Y)=C(-R^A)-C(-R^3)=N-$ or $-C(-Y)=C(-R^A)-C(=X)-N(-R^4)-$,

$-C(-Y)=C(-R^A)-N=C(-R^4)-$ drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

VII. Claims 1,12-13, and 28, wherein B^1 being N and A^1 being

$-C(-Y)=C(-R^A)-O-C(-R^4)-$ or $-C(-Y)=C(-R^A)-C(-R^3)-O-$,

$-C(-Y)=C(-R^A)-C(=X)-O-$ drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

VIII. Claims 1,12-13, and 28, wherein B^1 being N and A^1 being

$-C(-Y)=C(-R^A)-O-$ drawn to compounds of formula (I), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

IX. Claims 2,12-13, and 28, wherein B^2 being C and A^2 being $-C(-$

$Y)=C(-R^A)-C(-R^3)=C(-R^4)-$ or $-C(-Y)=C(-R^A)-C(-R^3)-C(-R^4)-$ drawn to compounds of formula (II), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

X. Claims 2,12-13, and 28, wherein B^2 being C and A^2 being $-C(-$

$Y)=C(-R^A)-C(-R^3)=N-$ or $-C(-Y)=C(-R^A)-C(=X)-N(-R^4)-$,

$-C(-Y)=C(-R^A)-N=C(-R^4)-$ drawn to compounds of formula (II), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

XI. Claims 12, 12-13, and 28, wherein B² being C and A² being
-C(-Y)=C(-R^A)-O-C(-R⁴)- or -C(-Y)=C(-R^A)-C(-R³)-O-,
-C(-Y)=C(-R^A)-C(=X)-O- drawn to compounds of formula (II), classified in
class various, subclass various. If this group is elected, a further election
of a single disclosed species of compound is also required.

XII. Claims 2, 12-13, and 28, wherein B² being C and A² being
-C(-Y)=C(-R^A)-O- drawn to compounds of formula (II), classified in class
various, subclass various. If this group is elected, a further election of a
single disclosed species of compound is also required.

XIII. Claims 2, 12-13 and 28, wherein B² being N and A² being -C(-
Y)=C(-R^A)-C(-R³)=C(-R⁴)- or -C(-Y)=C(-R^A)-C(-R³)-C(-R⁴)- drawn to
compounds of formula (II), classified in class various, subclass various. If
this group is elected, a further election of a single disclosed species of
compound is also required.

XIV. Claims 2, 12-13, and 28, wherein B² being N and A² being -C(-
Y)=C(-R^A)-C(-R³)=N- or -C(-Y)=C(-R^A)-C(=X)-N(-R⁴)-,
-C(-Y)=C(-R^A)-N=C(-R⁴)- drawn to compounds of formula (II), classified in
class various, subclass various. If this group is elected, a further election
of a single disclosed species of compound is also required.

XV. Claims 2, 12-13, and 28, wherein B² being N and A² being
-C(-Y)=C(-R^A)-O-C(-R⁴)- or -C(-Y)=C(-R^A)-C(-R³)-O-,

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$-C(-Y)=C(-R^A)-C(=X)-O-$ drawn to compounds of formula (II), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

XVI. Claims 2, 12-13, and 28, wherein B^2 being N and A^2 being

$-C(-Y)=C(-R^A)-O-$ drawn to compounds of formula (II), classified in class various, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required.

XVII. Claims 34, and 36-37, drawn to a mixture composition for anti-HIV and method for treating AIDS using compound of claim 1, classified in class 514, subclass various, depending on species election. If this group is elected, a further election in a single disclosed species to be used to the treatment is also required. Further restriction may be required.

The inventions listed as Groups I and II do not relate to a single general inventive concept under 35 USC 121 or PCT Rule 13.1 because: **PCT Rule 13.1** states that the international application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept ("requirement of unity of invention").

PCT Rule 13.2 states that the unity of invention referred to in Rule 13.1 shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features.

Annex B, **Part 1(a)**, indicates that the application should relate to only one invention, of if there is more than one invention, inclusion is permitted if they are so slinked to form a single general inventive concept.

Annex B **Part 1(b)**, indicates that "special technical features" means those features that as a whole define a contribution over the prior art.

Annex B **Part 1(c)**, further defines independent and dependent claims.

Unity of invention only is concerned in relation to independent claims.

Dependent claims are defined as a claim that contains all the features of another claim and is in the same category as the other claim. The category of a claim refers to the classification of claims according to subject matter e.g. product, process, use, apparatus, means, etc.

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Annex B **Part 1(e)**, indicates that the permissible combinations of different categories of claims. **Part 1(e)I**, states that inclusion of an independent claim for a given product, an independent claim for a process specially adapted for the manufacture of the said product, and an independent claim for a use of the said product is permissible.

Annex B, **Part 1(f)**, indicates the "Markush practice" of alternatives in a single claim. **Part 1(f)I**, indicates the technical relationship and the same or corresponding special technical feature is considered to be met when (A) all alternatives have a common property or activity, and (B) a common structure is present or all alternatives belong to a recognized class of chemical compounds. Further defining (B), Annex B, **Part 1(f)(I-iii)**, the common structure must; a)

occupy a large portion of their structure, or b) the common structure constitutes a structurally distinctive portion, or c) where the structures are equivalent and therefore a recognized class of chemical compounds, each member could be substituted for one another with the same intended result. That is, with a common or equivalent structure, there is an expectation relationship and the corresponding special technical feature result from a common (or equivalent) structure that is responsible for the common activity (or property). **Part 1(f)iv**, indicates that when all alternatives of a Markush grouping can be differently classified, it shall not, taken alone, be considered justification for finding a lack of unity. **Part 1(f)v**, indicates that "When dealing with alternatives, if it can be shown that at least *one* Markush alternative is not novel over the prior art, the question of unity of invention shall be reconsidered by the examiner"

In the instant case, at least one Markush alternative is not novel because prior art by Fefer et al., CA 2263046 include compound
RN 91-22-5
CN Quinoline



which disclosed the same common core as the instant application anticipated group I, thus the lacking of unity of invention has been found.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with

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37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Niloofar Rahmani whose telephone number is 571-272-4329. The examiner can normally be reached on Monday through Friday from 8:30 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas Mckenzie, can be reached on 571-272-0670. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

NILOOFAR RAHMANI

05/08/2007

nr


MARGARET D. SEAMAN

PRIMARY EXAMINER

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